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# Point Defect Engineering of High-Performance Bismuth-Telluride-Based Thermoelectric Materials

Lipeng Hu, Tiejun Zhu,\* Xiaohua Liu, and Xinbing Zhao\*

Developing high-performance thermoelectric materials is one of the crucial aspects for direct thermal-to-electric energy conversion. Herein, atomic scale point defect engineering is introduced as a new strategy to simultaneously optimize the electrical properties and lattice thermal conductivity of thermoelectric materials, and  $(Bi,Sb)_2(Te,Se)_3$  thermoelectric solid solutions are selected as a paradigm to demonstrate the applicability of this new approach. Intrinsic point defects play an important role in enhancing the thermoelectric properties. Antisite defects and donor-like effects are engineered in this system by tuning the formation energy of point defects and hot deformation. As a result, a record value of the figure of merit ZT of  $\approx 1.2$  at 445 K is obtained for n-type polycrystalline  $Bi_2Te_{2.3}Se_{0.7}$  alloys, and a high ZT value of  $\approx 1.3$  at 380 K is achieved for p-type polycrystalline  $Bi_{0.3}Sb_{1.7}Te_3$  alloys, both values being higher than those of commercial zone-melted ingots. These results demonstrate the promise of point defect engineering as a new strategy to optimize thermoelectric properties.

#### 1. Introduction

Thermoelectric (TE) coolers and generators have attracted extensive interest in the past decades due to various promising properties: direct conversion between heat and electricity, vibration-free operation, high reliability, and low environmental impact. The conversion efficiency of TE devices depends on the dimensionless figure of merit ZT of the materials,  $ZT = \sigma \alpha^2 T/\kappa$ , where T is the operating temperature, and the interdependent TE parameters  $\alpha$ ,  $\sigma$ , and  $\kappa$  are the Seebeck coefficient, the electrical conductivity, and the thermal conductivity (including the lattice contribution  $\kappa_{\rm ph}$  and the carrier contribution  $\kappa_{\rm el}$ ), respectively. Two main strategies have recently been adopted to obtain high-performance TE materials, namely phonon engineering<sup>[1–5]</sup> and band engineering,<sup>[6,7]</sup> which are used separately to optimize the thermal and electronic transport properties. For example, incorporation of nanostructures into bulk TE materials

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DOI: 10.1002/adfm.201400474

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as phonon scattering centers is an effective approach to minimize  $\kappa_{\rm ph}$  and to improve  $ZT.^{[1]}$  An alternative approach to increase ZT is to enhance the power factor ( $PF = \sigma\alpha^2$ ) by band structure modification, such as tuning of resonant levels<sup>[6]</sup> or band convergence.<sup>[7]</sup>

The decoupling of interdependent TE parameters has been a longstanding challenge because they are strongly coupled by the carrier concentration. Here, we propose atomic scale point defect engineering as one new possible solution that can simultaneously optimize the electrical properties and lattice thermal conductivity. Point defects play an important role in TE transport. For example, Mg interstitials in Mg<sub>2</sub>(Si,Sn) TE solid solutions increase the carrier concentration, he may be made a sadditional phonon scattering centers, can reduce the lattice thermal conductivity  $\kappa_{\rm ph}$ . Holp Point defects also change

the band structure. Theoretical calculations demonstrated that in ZrNiSn based half-Heusler TE alloys the bandgap decreases with increasing Zr/Sn antisite defect content.<sup>[11]</sup>

In (Bi,Sb)2(Te,Se)3 based alloys, the best TE materials near room temperature, inherent point defects occur during the crystal growth from the stoichiometric melt. Negatively charged antisite defects such as  $Sb_{Te}^{'}$ ,  $Bi_{Te}^{'}$ , and  $Bi_{Te}^{'}$  are formed when excess cation atoms occupy the vacant anion sites, which accounts for the p-type conductivity.[12] On the other hand, the anion vacancies ( $V_{Te}^{\bullet}$  and  $V_{Se}^{\bullet}$ ) carrying positive charges are generated if the empty anion sites are retained, which is compensated by electrons.<sup>[13]</sup> Recently, the existence of these native defects has been directly confirmed by scanning tunnelling microscopy.<sup>[14]</sup> However, the important role of point defects is generally ignored in recent TE research, especially for Bi<sub>2</sub>Te<sub>3</sub> based alloys with inherent antisite defects or vacancies. In this work, point defect engineering is proposed as a new and effective approach to enhance the TE performance, and (Bi,Sb)<sub>2</sub>(Te,Se)<sub>3</sub> solid solutions are selected as a paradigm to demonstrate the applicability of this new strategy.

The concentration of antisite defects or vacancies in bismuth telluride based solid solutions strongly depends on the composition. The smaller the differences in electronegativity  $\chi$  and atomic size between the cation and anion atoms, the less resistance to the formation of antisite defects. [12] Nevertheless, by increasing the size difference between the cation and anion atoms, anion vacancies form more readily. [13] The basic properties of these constituent elements in Bi<sub>2</sub>Te<sub>3</sub> based alloys are summarized in Table S1 (Supporting Information). The

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formation energy of antisite defects  $E_{\text{AS}}$  can be enumerated in ascending order:

$$E_{AS}(Sb-Te) < E_{AS}(Bi-Te) < E_{AS}(Sb-Se) < E_{AS}(Bi-Se)$$
 (1)

The formation energy of anion vacancies  $E_V$  is listed in descending order:

$$E_{V}$$
 (Sb-Te)  $<$   $E_{V}$  (Bi-Te)  $<$   $E_{V}$  (Sb-Se)  $<$   $E_{V}$  (Bi-Se) (2)

This simple defect model permits us to qualitatively predict the conduction type and accounts for the carrier concentration variation with composition. In the binary systems, undoped  $Sb_2Te_3$  alloys exhibit very strong p-type characteristics, while  $Bi_2Te_3$  alloys are weakly p-type, which is due to the larger difference in  $\chi$  and atomic size in the latter. Similarly, the low  $E_V$  value demonstrates the strong n-type behavior of the binary  $Bi_2Se_3$  alloy. This defect model has recently been corroborated by first-principles calculations that consider spin-orbit interaction. [14]

It is necessary to mention that excess electrons can be introduced into the lattice by the deformation-induced donor-like effect, which significantly changes the carrier concentration.<sup>[15]</sup> Typically, unidirectionally grown Bi<sub>2</sub>Te<sub>3</sub> based alloys with a maximum  $ZT \approx 1$  exhibit poor mechanical properties due to the weak van der Waals bonding between Te<sup>(1)</sup>-Te<sup>(1)</sup> layers in the rhombohedral crystal structure. In recent years, a combination of ball milling (BM) and hot pressing (HP) has been used to improve the mechanical properties and to reduce  $\kappa_{ph}$ by enhancing boundary scattering of phonons.[1] However, the impact of deformation-induced donor-like effects on the TE properties has been ignored in many recent studies.[1,2,16] Recently, we found that hot deformation (HD) also leads to the donor-like effect.<sup>[8,17]</sup> Deformation induces the non-basal slip and produces, on average, 3 Te to 2 Bi vacancy-interstitial pairs.<sup>[18]</sup> When abundant Bi vacancies are created, the Bi atoms occupying the Te sites would more readily diffuse back into its original sublattices and excess Te vacancies are thereupon produced:[15]

$$2V_{Bi}^{"'} + 3V_{Te}^{"} + Bi_{Te}^{'} \rightarrow V_{Bi}^{"'} + Bi_{Bi}^{\times} + 4V_{Te}^{"} + 6e'$$
(3)

where  $V_{Bi}^{"}$  and  $V_{Te}^{"}$  are the Bi and Te vacancies generated from the deformation process,  $Bi_{Te}^{'}$  is the antisite defect formed during the crystal growth, and e' is an excess electron. An analogous formula is valid for  $V_{Sb}^{"}$ ,  $Sb_{Te}^{'}$ ,  $Bi_{Se}^{'}$  and  $V_{Se}^{"}$ . The excess anion vacancies (i.e.,  $V_{Te}^{"}$  and  $V_{Se}^{"}$ ) that were created by the deformation induced non-basal slip are hereafter denoted as donor-like defects. It is clear that the donor-like effect depends on two factors: antisite defects and the deformation induced vacancies.

As aforementioned, the interrelated thermoelectric parameters are all highly dependent on the carrier concentration. Although the effect of point defects on the carrier concentration of  $Bi_2Te_3$  based alloys has been reported, there are few studies on how to utilize point defects to design and optimize compositions and to improve TE properties of polycrystalline  $Bi_2Te_3$  alloys. Furthermore, point defects reduce  $\kappa_{\rm ph}$  by scattering phonons due to either mass contrast or local strains. Theoretically,  $\kappa$  of  $Bi_2Te_3$  alloys can be decreased down to 60–70% by bismuth or tellurium vacancies and about 20% by antisite defects. [19] In this work, a hot deformation approach is used to engineer point defects, and an

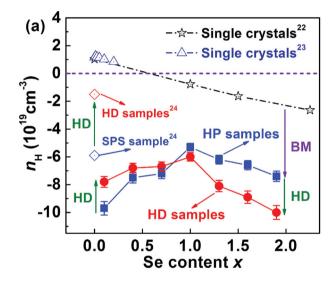
enhancement of ZT for both n- and p-type ternary  $Bi_2Te_3$ -based polycrystalline alloys is realized. A record ZT value of  $\approx 1.2$  for n-type polycrystalline  $Bi_2Te_3$  is obtained with the thermal and electrical properties being measured along the same direction. The present results strongly demonstrate the efficacy of point defect engineering and provide a new route for improving TE properties.

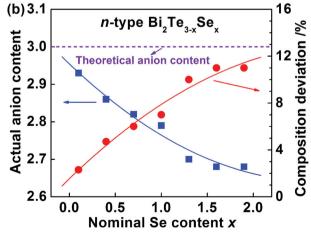
#### 2. Results and Discussion

#### 2.1. Ternary n-Type Bi<sub>2</sub>Te<sub>3-x</sub>Se<sub>x</sub> System

To construct a fully functioning optimal TE generator, both n- and p-type materials with similar performance are needed. Despite high ZT values of  $\approx 1.3$  have been achieved for p-type polycrystalline  $\rm Bi_2Te_3$  based alloys by nanostructuring, [1,2,20] the maximum ZT value of n-type alloys is only close to unity. [8,16,17] Thus, obtaining high efficiency n-type alloys that match well with p-type counterparts is a great challenge.

Figure 1a shows the room temperature carrier concentration  $n_{\rm H}$  of undoped single crystal and polycrystalline Bi<sub>2</sub>Te<sub>3-x</sub>Se<sub>x</sub>





**Figure 1.** a) Room temperature carrier concentration of the undoped single crystals,  $^{[22,23]}$  HP and HD  $Bi_2Te_{3-x}Se_x$  samples, and literature data.  $^{[24]}$  b) Actual compositions analyzed by electron probe microanalysis (EPMA) of the polycrystalline  $Bi_2Te_{3-x}Se_x$  samples.

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samples. The latter was obtained by ball milling, hot pressing and hot deformation. According to the above described point defect model, the substitution of Te sites by Se in weakly p-type  $Bi_2Te_3$  single crystals increases  $E_{AS}$  and decreases  $E_V$  due to the greater difference of  $\chi$  and size in Bi–Se as compared to Bi–Te (Table S1, Supporting Information). The concentration of anion vacancies (V<sub>Te</sub> and V<sub>Se</sub>) gradually surpasses that of antisite defects (Bi<sub>Te</sub> and Bi<sub>Se</sub>), which induces a p-n type transition in the undoped single crystals of Bi<sub>2</sub>Te<sub>3-x</sub>Se<sub>x</sub> with increasing Se content. Unidirectionally grown Bi<sub>2</sub>Te<sub>3-x</sub>Se<sub>x</sub> ingots have optimal matrix compositions at x = 0.15 - 0.3, thereby showing weak p-type conduction because the dominant point defects are antisite defects at these compositions. Donor doping with expensive halide is generally applied to realize the p-n transition and to optimize the electron concentration to  $\approx 5 \times 10^{19} \text{ cm}^{-3}$ .[21] Alternatively, the p-n transition at these compositions could be caused by the deformation induced donor-like effect. Figure 1a shows that the donor-like effect provides high electron concentrations, which results in n-type conductivity for all the polycrystalline samples subjected to BM (HP and HD samples), especially at the typical optimum compositions (x = 0.15 - 0.3). Thus, a reassessment of the optimum composition for polycrystalline Bi<sub>2</sub>Te<sub>3-x</sub>Se<sub>x</sub> subjected to mechanical deformation is required.

The room temperature carrier concentration  $n_H$  of the polycrystalline HD and HP samples firstly falls and then rises with increasing the Se content x (Figure 1a), which can be clearly explained by the concept of point defects. The electron concentration of the HP samples results from both inherent anion vacancies and the deformation-induced donorlike effect. However, the anion vacancies are ever-rising with increasing x, which cannot explain the reduction of  $n_H$  at x < 1.0. A similar result has also been observed in a previous report.[24] The degree of donor-like effect mainly depends on the concentration of antisite defects under the same deformation conditions according to Equation (3). The electron concentration produced by the donor-like effect decreases with increasing *x* because of the initial decrease in antisite defects. Thus, the optimum composition of the polycrystalline n-type alloys is x = 0.7 - 1.0 with a suppressed donor-like effect and  $n_{\rm H}$  of  $\approx 5-6 \times 10^{19} {\rm cm}^{-3}$  (Figure 1a). When the Se content is further increased to x > 1, however,  $n_H$  increases again. In addition to the increased anion vacancies, this might result from the re-enhanced donor-like effect, because the concentration of antisite defects rises at x > 1. The antisite defect concentration is the consequence of  $E_{\rm AS}$  and the overstoichiometry of cation atoms. Because Se is volatilized more readily than Te, there will be more excess Bi atoms with increasing x, as demonstrated in Figure 1b. Both  $E_{AS}$  and the excess Bi atoms increase with x, which has a contrary effect on the antisite defect concentration, leading to a "down-up" variation trend for the antisite defects. Indeed, despite the strong n-type conduction, the concentration of Bise antisite defects in Bi2Se3 crystals (\* $4 \times 10^{19}$  cm $^{-3}$ ) is higher than that of  $Bi_{Te}^{'}$  in  $Bi_{2}Te_{3}$  $(\approx 1 \times 10^{19} \text{ cm}^{-3}).^{[13]}$ 

Note that the HD samples have a lower  $n_{\rm H}$  than the HP counterparts with low Se contents but a higher  $n_{\rm H}$  at  $x \ge 1.0$  (Figure 1a). Hot deformation is an effective method to further tune the point defects and to improve the TE

performance.[8,17] Different from the sole donor-like effect during the ball milling of the HP samples, both donor-like effects and recovery coexist in the HD process. The antisite defects are nearly depleted by the BM-induced donor-like effect for the HP samples with low contents of Se. Thus, the donor-like effect cannot further occur in the subsequent HD process. Our previous studies indicate that raising the hot deformation temperature or annealing will mitigate donorlike defects (i.e.,  $V_{Te}^{\bullet}$  and  $V_{Se}^{\bullet}$ ) due to the recovery effect, [8,17] and results in the reduction of  $n_{H}$ . A similar phenomenon was reported by Zhao et al. [24] They pointed out that  $n_{\rm H}$  of samples fabricated by spark plasma sintering (SPS) is lower than that of HD counterparts for the pure Bi<sub>2</sub>Te<sub>3</sub> bulk because of the recovery role (Figure 1a). On the contrary, at high Se contents with higher concentration of antisite defects, only a part of the antisite defects contributes to the donor-like effect caused by BM, and the donor-like effect is further provoked during HD.[8,17] This leads to higher electron concentrations (>6  $\times$  10<sup>19</sup> cm<sup>-3</sup>) than in the HP counterparts, indicating that samples of high Se-content are not suitable as good n-type Bi<sub>2</sub>Te<sub>3</sub> based materials.

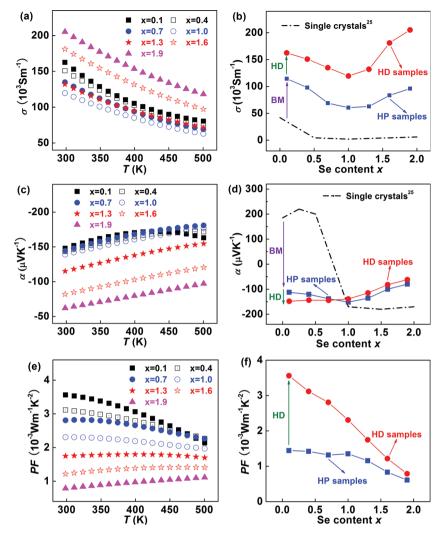
By optimizing  $n_{\rm H}$  via engineering point defects instead of doping, good electrical properties can be obtained. The initial decrease of  $\sigma$  for HD samples with increasing x in Figure 2a and b is mainly ascribed to the decrease of  $n_{\rm H}$ . The minimum  $\sigma$  at room temperature is  $\approx 1.2 \times 10^5 \text{ Sm}^{-1}$ for hot deformed  $Bi_2Te_2Se$ . Raising the Se content to x > 1,  $\sigma$  dramatically increases due to the increase of  $n_{\rm H}$ . The HD samples showed a higher  $\sigma$  value for the whole range of Se content even though  $n_{\rm H}$  is lower than that of the HP counterparts at low Se contents, which is ascribed to the higher  $\mu_{\rm H}$  value of the former. Hot deformation could enhance the texture to some extent and hence improve the carrier mobility  $\mu_{\rm H}.^{[8,16,17,24]}$  The in-plane X-ray diffraction patterns of the HP and HD samples show that the (00l) diffraction intensities of the HD sample are much higher than that of the HP counterpart, revealing the formation of texture (Figure S1, Supporting Information). Thus,  $\mu_H$  of the HD polycrystalline samples is higher than that of the HP counterparts (Figure S1, Supporting Information). Figure 2c,d show that the absolute value of  $\alpha$  for polycrystalline samples first slightly rises and then falls, contrary to  $\sigma$ . Figure 2e,f show that the power factor PF of polycrystalline samples monotonously declines with increasing the Se content. Besides, the HD samples have an improved PF for all the compositions compared to the HP counterparts. It should be noted that all the TE parameters were measured along the growth direction of the single crystals and the in-plane direction for the polycrystalline HP and HD samples.

Point defects also have a significant influence on  $\kappa$ . The  $\kappa$  value of polycrystalline  ${\rm Bi}_2{\rm Te}_{3-\kappa}{\rm Se}_{\kappa}$  samples firstly drops and then rises with increasing  $\kappa$ , which is in accordance with the variation of  $\sigma$  (Figure 3a,b). Compared with the HP samples, the HD counterparts show a higher  $\kappa$  value, mainly due to a higher  $\kappa_{\rm el}$ , which is consistent with results by Zhao et al. (24) The minimum  $\kappa$  value was obtained at  $\kappa$  = 0.7.  $\kappa_{\rm ph}$  of the HD samples in Figure 3c was estimated by subtracting  $\kappa_{\rm el}$  from  $\kappa$ , where  $\kappa_{\rm el}$  was calculated using the Wiedemann-Franz law with  $\kappa$  = 2.0 × 10<sup>-8</sup> V<sup>2</sup>K<sup>-2</sup>. The anomalous rise of  $\kappa$  at

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**Figure 2.** a) Temperature dependence of in-plane electrical conductivity of the hot deformed  $Bi_2Te_{3-x}Se_x$  samples. b) Room temperature electrical conductivity of the single crystals,  $I^{[25]}$  HP and HD  $Bi_2Te_{3-x}Se_x$  samples. c) Temperature dependence of the Seebeck coefficient of the hot deformed  $Bi_2Te_{3-x}Se_x$  samples. d) Room temperature Seebeck coefficient of the single crystals,  $I^{[25]}$  HP and HD  $I_2Te_{3-x}Se_x$  samples. e) Temperature dependence of the power factor of the hot deformed  $I_2Te_{3-x}Se_x$  samples. f) Room temperature power factor of the HP and HD  $I_2Te_{3-x}Se_x$  samples.

x=1.0-1.5 is a result of atom ordering (Figure 3d).  $^{[26]}$  Both antisite defects and vacancies contribute to the reduction of  $\kappa_{\rm ph}$ , but the effect of vacancies is much greater because of the larger mass and size differences between the occupied sites and the vacancies. The deformation induced vacancies  $V_{\rm Bi}^{'''}$  and  $V_{\rm Te}^{"}$  (or  $V_{\rm Se}^{"}$ ) in the HD samples further scatter the phonons and reduce  $\kappa_{\rm ph}$  (Figure 3d). In addition, high-density lattice defects such as the lattice distortions and dislocations generated during the HD process also contribute to the reduction of  $\kappa_{\rm ph}.^{[8,17,20]}$ 

By engineering the point defects via tuning the Se content and hot deformation, the hot deformed  $Bi_2Te_{2.3}Se_{0.7}$  sample exhibits a ZT value of  $\approx 1.0$  at 500 K (**Figure 4a**). Different from the typically zone-melted (ZM) alloys with x = 0.15 - 0.3, [21,26] the optimum compositions of the polycrystalline samples are shifted to a higher Se content of x = 0.7 (Figure 4b), because the

donor-like effect will significantly increase the electron concentration. More importantly, there is a significant improvement of the average  $ZT_{\rm av}$  value throughout the entire temperature range studied, which is 0.87 for the Bi<sub>2</sub>Te<sub>2.3</sub>Se<sub>0.7</sub> HD sample (Figure S2, Supporting Information). In order to corroborate the reproducibility, the Bi<sub>2</sub>Te<sub>2.3</sub>Se<sub>0.7</sub> sample has been re-prepared twice using the same procedure and repeatable ZT values have been obtained (Figure S2, Supporting Information).

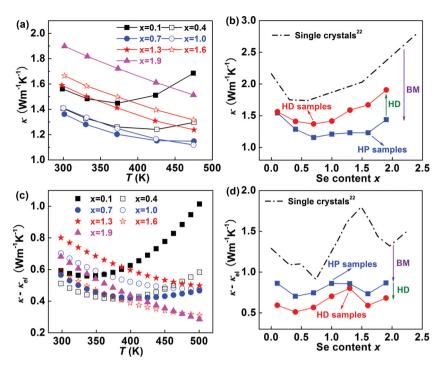
To further improve the ZT value of the Bi<sub>2</sub>Te<sub>2.3</sub>Se<sub>0.7</sub> alloy, its electrical properties need to be optimized. In our previous work, repetitive hot deformation has been successfully employed to obtain high-performance n-type Bi<sub>2</sub>Te<sub>2</sub>Se<sub>1</sub> alloys.<sup>[17]</sup> Here the same procedure was implemented for the optimized composition Bi<sub>2</sub>Te<sub>2.3</sub>Se<sub>0.7</sub>. Due to the recovery induced  $n_{\rm H}$ -reduction, remarkable improvements in  $\alpha$  were obtained with increasing the HD count (Figure S3, Supporting Information). Meanwhile,  $\kappa$  is distinctly reduced owing to the deformation-induced lattice defects (Figure S3, Supporting Information). Consequently, a record value of ZT= 1.2 at 445 K was achieved for the n-type Bi<sub>2</sub>Te<sub>2.3</sub>Se<sub>0.7</sub> sample hot deformed three times (HD3) with both the thermal and electrical properties measured along the inplane direction (Figure 4c), which is a 20% increase over the sample hot deformed once (HD1). This is the highest ZT value reported for the n-type Bi<sub>2</sub>Te<sub>3</sub> based alloys (Figure 4d). It is noteworthy that the maximum ZT value in this work was close to 2.0, if the in-plane electrical conductivity and out-of-plane thermal conductivity were used to calculate ZT, as generally adopted, leading to a 70% overestimation.

## 2.2. Ternary p-Type Bi<sub>y</sub>Sb<sub>y</sub>Te<sub>3</sub> System

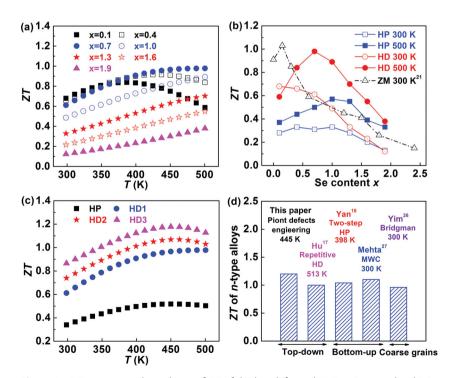
Point defect engineering is also effective in improving the TE performance of p-type  $Bi_2Te_3$  based alloys. Similar to the n-type system, the optimum compositions of p-type polycrystalline  $Bi_{2-\gamma}Sb_{\gamma}Te_3$  should also be different from the single crystals because of the deformation induced donor-like effect.

Raising the Sb content in p-type  $\mathrm{Bi}_{2-y}\mathrm{Sb}_y\mathrm{Te}_3$  reduces  $E_{\mathrm{AS}}$  and thereby increases the hole concentration on account of the smaller differences in electronegativity  $\chi$  and covalent radius in Sb–Te as compared to Bi–Te.<sup>[12,22]</sup> The alloy composition  $\mathrm{Bi}_{0.5}\mathrm{Sb}_{1.5}\mathrm{Te}_3$  is generally believed to have the optimal hole concentration and the maximum ZT value for unidirectionally grown ingots in the past decades. [26] However, for the polycrystalline samples subjected to powder processing, the hole concentration will be greatly reduced by the

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**Figure 3.** a) Temperature dependence of the in-plane thermal conductivity of the hot deformed  $Bi_2Te_{3-x}Se_x$  samples, b) room temperature thermal conductivity of the single crystals, [22] HP and HD  $Bi_2Te_{3-x}Se_x$  samples, c) temperature dependence of the in-plane lattice thermal conductivity of the hot deformed  $Bi_2Te_{3-x}Se_x$  samples, and d) room temperature lattice thermal conductivity of the single crystals, [22] HP and HD  $Bi_7Te_{3-x}Se_x$  samples.



**Figure 4.** a) Temperature dependence of ZT of the hot deformed  $Bi_2Te_{3-x}Se_x$  samples. b) Se content dependence of ZT of the ZM,  $^{[21]}$  HP and HD  $Bi_2Te_{3-x}Se_x$  samples. c) Temperature dependences of ZT of the repetitive hot deformed  $Bi_2Te_{2,3}Se_{0,7}$  samples. d) Maximum ZT compared with other state-of-the-art work.  $^{[16,17,26,27]}$ 

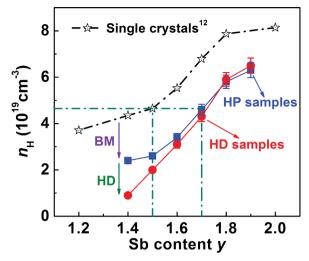
deformation-induced donor-like effect<sup>[15]</sup> (Figure 5), leading to the deteriorative TE performance. It is obvious that  $n_H$  of the polycrystalline sample y = 1.7 is nearly equal to that of the y = 1.5 single crystal, indicating that the optimal hole concentration in polycrystalline samples can be obtained by raising antisite defects (i.e., Sb'<sub>Te</sub> and Bi<sub>Te</sub>). However, many previous studies on polycrystalline p-type alloys are still based on the optimum composition y = 1.5 of single crystals.[1,2] In point defect engineering, it is crucial to elucidate how to tune the point defects in p-type Bi<sub>2</sub>Te<sub>3</sub> based alloys by hot deformation. The HD induced donor-like effect partly compensates holes, resulting in a fall in  $n_{\rm H}$  compared to the HP counterparts.<sup>[15]</sup> Moreover, it is noted that the  $n_{\rm H}$ -reduction is notably weakened with increasing the Sb content (Figure 5). Similar to the n-type Bi<sub>2</sub>Te<sub>3-r</sub>Se<sub>r</sub> system, the HD process also enhances the textures and improves  $\mu_H$  of p-type polycrystalline Bi<sub>2-γ</sub>Sb<sub>γ</sub>Te<sub>3</sub> alloys (Figure S4, Supporting Information).

By optimizing  $n_{\rm H}$  via engineering point defects, good electrical properties have been achieved (Figure 6). The substitution of Bi by Sb atoms in the Bi<sub>2</sub>Te<sub>3</sub> lattice dramatically improves  $\sigma$ , resulting from the increase in  $n_{\rm H}$  due to the increased antisite defects (Figure S5, Supporting Information).  $\sigma$  of samples y = 1.6 and 1.7, rather than the traditional y =1.5, is much closer to the optimum  $\sigma$  of 1.0  $\times$ 10<sup>5</sup> Sm<sup>-1</sup> at room temperature for the Bi<sub>2</sub>Te<sub>3</sub> based alloys. The reduction in  $\sigma$  after BM is the result of the donor-like effect (Figure 6a). Correspondingly, \alpha markedly declines with increasing y (Figure S5, Supporting Information). Polycrystalline samples of y = 1.6and 1.7 exhibit a high  $\alpha$  value of  $\approx$ 200  $\mu$ VK<sup>-1</sup> throughout the whole temperature range. The temperature at which the maximum  $\alpha$ occurs gradually rises with the Sb content, which is mainly ascribed to the suppressed intrinsic conduction by increasing the hole concentration. Compared to the single crystals,  $\alpha$  of the HP and HD samples is improved owing to the decreased  $n_H$  induced by the donor-like effect (Figure 6b). Consequently, a maximum PF of  $4.5 \times 10^{-3}$  Wm<sup>-1</sup>K<sup>2</sup> was achieved at room temperature for the hot deformed Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3</sub> sample (Figure S6, Supporting Information).

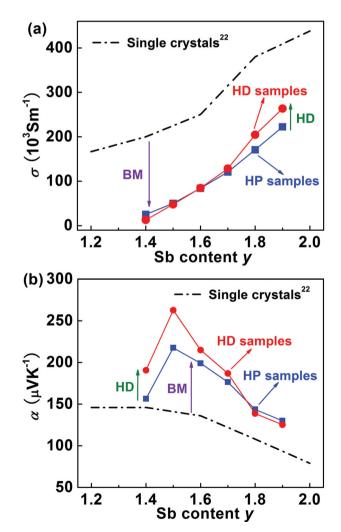
The change of  $\kappa$  in p-type  $\mathrm{Bi}_{2-\gamma}\mathrm{Sb}_{\gamma}\mathrm{Te}_3$  is obviously related to point defects, similar to that of the n-type ones (Figure S7, Supporting Information). Room temperature  $\kappa$  rises with increasing  $\gamma$  due to the increased value of

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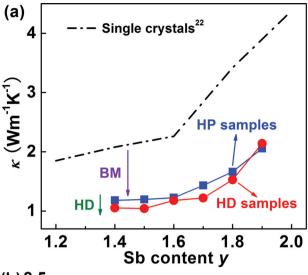


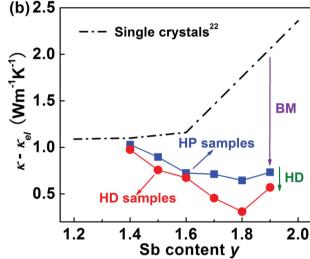


**Figure 5.** Room temperature carrier concentration of the undoped single crystals, [12] HP and HD  $Bi_{2-\nu}Sb_{\nu}Te_3$  samples.



**Figure 6.** Room temperature in-plane a) electrical conductivity and b) Seebeck coefficient of undoped single crystals, [22] HP and HD  $\mathrm{Bi}_{2-y}\mathrm{Sb}_v\mathrm{Te}_3$  samples.





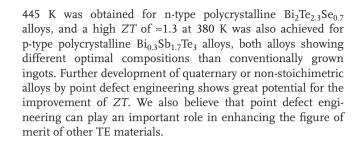
**Figure 7.** Room temperature in-plane a) total thermal conductivity and b) lattice thermal conductivity of the undoped single crystals, [22] HP and HD  $Bi_{2\gamma}Sb_{\gamma}Te_{3}$  samples.

 $\kappa_{el}$ , as shown in Figure 7a.  $\kappa_{ph}$  of the HD samples was calculated using the same method as for the n-type alloys (Figure S7, Supporting Information). Figure 7b shows room temperature  $\kappa_{ph}$  of undoped single crystals, HP and HP samples. The generation of  $V_{Sb}^{'''}$  (or  $V_{Bi}^{'''}$ ) and  $V_{Te}^{*}$  during the deformation (Equation (3)) results in a decrease of  $\kappa_{ph}$  for the HP and HD samples. Besides, deformation-induced lattice distortion also contributes to the decline of  $\kappa_{ph}^{[8,17,20]}$ 

By engineering the point defects via optimizing the Sb content and hot deformation, the hot deformed  $\mathrm{Bi}_{0.3}\mathrm{Sb}_{1.7}\mathrm{Te}_3$  sample shows the highest  $ZT\approx1.3$  at 380 K (Figure S8, Supporting Information). As compared to the traditionally grown  $\mathrm{Bi}_{0.5}\mathrm{Sb}_{1.5}\mathrm{Te}_3$  ingots with a maximum  $ZT\approx1$  near room temperature, [26,28] the optimum composition is shifted to a higher Sb content for the polycrystalline samples owing to the deformation induced donor-like effect (**Figure 8**a). Our result is consistent with the recent work by Li et al., who reported a high ZT value for ball milled  $\mathrm{Bi}_{0.3}\mathrm{Sb}_{1.7}\mathrm{Te}_3$  alloys,

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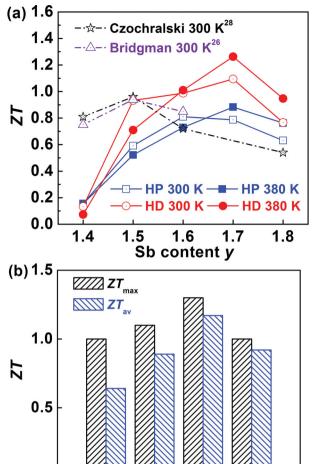


Figure 8. a) Sb content y dependence of ZT values for the traditionally grown ingots, [26,28] HP and HD Bi<sub>2-y</sub>Sb<sub>y</sub>Te<sub>3</sub> samples, b) Sb content dependence of ZT<sub>max</sub> and ZT<sub>av</sub> of hot deformed Bi<sub>2-v</sub>Sb<sub>v</sub>Te<sub>3</sub> samples.

1.6

Sb content v

1.7

1.8

1.9

not Bi<sub>0.5</sub>Sb<sub>1.5</sub>Te<sub>3</sub>.<sup>[29]</sup> Also, there is a significant improvement of the average  $ZT_{av}$  throughout the studied temperature range, which is 1.2 for the hot deformed Bi<sub>0.3</sub>Sb<sub>1.7</sub>Te<sub>3</sub> sample (Figure 8b). It is also worth pointing out that, if the in-plane electrical conductivity and the out-of-plane thermal conductivity were used to determine ZT, the figure of merit will be overestimated to be about 1.9.

#### 3. Conclusion

Adv. Funct. Mater. 2014, 24, 5211-5218

0.0

1.4

1.5

In summary, optimized values of  $n_{\rm H}$  and reduced values of  $\kappa_{\rm ph}$  were obtained by point defect engineering, which allows to design and optimize compositions of both undoped n- and p-type bismuth telluride based TE materials. The results on both n- and p-type bismuth telluride based alloys demonstrate that modulating point defects enables simultaneous improvements in electrical properties and lattice thermal conductivity, leading to enhanced ZT values. A record ZT value of  $\approx$ 1.2 at

## 4. Experimental Section

The commercial high-purity elemental chunks of 99.999% Bi, 99.999% Sb, 99.999% Te, and 99.999% Se were weighed according to the nominal composition of  $Bi_2Te_{3-x}Se_x$  (x = 0.1, 0.4, 0.7, 1.0, 1.3, 1.6, and 1.9) and Bi<sub>2-y</sub>Sb<sub>y</sub>Te<sub>3</sub> ( $\gamma=1.4,\,1.5,\,1.6,\,1.7,\,1.8,$  and 1.9) and sealed into a quartz tube at  $10^{-3}$  Pa. The element mixture was then melted for 10 h in a box furnace at 1073 K and 1023 K for n- and p-type alloys, respectively. Fine powders were made by ball milling (Retsch, MM 200) the obtained ingots for 20 min at 20 and 15 Hz for n- and p-type alloys, respectively.

The as-obtained powders were hot pressed into a cylinder in a  $\phi$  10 mm graphite die at 673K for 30 min under the uniaxial stress of 80 MPa, producing the initial bulk samples named as HP. Subsequently, a hot deformation process was performed by repressing the HP samples in a larger graphite die with an inner diameter of 16 mm at 823 K for 30 min using the same stress. Finally, disk-shaped samples of 16 mm called HD were obtained.

The actual chemical compositions were characterized by electron probe microanalysis (EPMA, JEOL JXA-8100) with a wave dispersive spectrometer (WDS). The in-plane thermal diffusivity (D) measurements were performed on a Netzsch LFA 457 laser flash apparatus with a Pyroceram standard using the method described in detail in the literature. [20] The specific heat (Cp) was measured on the Netzsch DSC 404C and the density ( $\rho_D$ ) was estimated by an ordinary dimension and weight measurement procedure. The in-plane thermal conductivity was then calculated using the relation  $\kappa = D\rho_D C_P$ . The in-plane electrical conductivity ( $\sigma$ ) and the Seebeek coefficient ( $\alpha$ ) were simultaneously measured on a commercial Linseis LSR-3 system. The Hall coefficient ( $R_{\rm H}$ ) was determined at 300 K on a Quantum Design PPMS-9T instrument using a four-probe configuration. Then the carrier concentration ( $n_H$ ) and in-plane Hall mobility ( $\mu_H$ ) were computed according to  $n_H = 1/eR_H$  and  $\mu_H = \sigma R_H$ , respectively.

## **Supporting Information**

Supporting Information is available from the Wiley Online Library or from the author.

### Acknowledgements

The work was supported by the National Basic Research Program of China (2013CB632503), the Nature Science Foundation of China (51271165 and 51171171), the Program for New Century Excellent Talents in University (NCET-12-0495), the Program for Innovative Research Team in University of Ministry of Education of China (IRT13037), and the Ph.D program Foundation of Ministry of Education of China (20110101110024).

> Received: February 11, 2014 Revised: March 18, 2014 Published online: June 2, 2014



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